

1032 U.S. PTO
10/03/01

05-02-01

A

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Inventorship Brezak et al.
3 Applicant Microsoft Corporation
4 Attorney's Docket No. MS1-646US
Title: Methods And Arrangements For Controlling Access To Resources Based On Authentication Method

J1002 U.S. PTO
09/04/01

5 **TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

6 To: Commissioner of Patents and Trademarks From: Thomas A. Jolly (509) 324-9256
7 Washington, D.C. 20231 Customer No. 22801



22801

PATENT TRADEMARK OFFICE

8 The following enumerated items accompany this transmittal letter and are being submitted for the
matter identified in the above caption.

- 9 1. Transmittal Letter with Certificate of Mailing included.
10 2. PTO Return Postcard Receipt
11 3. Fee Transmittal
12 4. Check in the amount of \$858.00
13 5. Non Publication Request Under 35 U.S.C. 122(b)(2)(B)(i)
14 6. New patent application (title page plus 17 pages, including claims 1-26 & Abstract)
15 7. Executed Declaration
16 8. 2 sheets of formal drawings (Figs. 1-2)
17 9. Assignment w/Recordation Cover Sheet

18 Large Entity Status [x] Small Entity Status []

19 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
20 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
21 processing fees under 37 CFR 1.17.

22 Date: 4-30-01

23 By: T. A. Jolly
Thomas A. Jolly
Reg. No. 39,241

24 **CERTIFICATE OF MAILING**

25 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL764086170

Date: 4/30/01

By: LeAnn M. Sassman
LeAnn M. Sassman

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 858.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Brezak et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-6444S

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0769**
Deposit Account Name **Lee Hayes PLLC**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** =	6	108
Independent Claims	- 3** =	0	0
Multiple Dependent			

3. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
101	710	201	355 Utility filing fee	710
106	320	206	160 Design filing fee	
107	490	207	245 Plant filing fee	
108	710	208	355 Reissue filing fee	
114	150	214	75 Provisional filing fee	

SUBTOTAL (1) (\$ 710.00)

4. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** =	6	108
Independent Claims	- 3** =	0	0
Multiple Dependent			

5. BASIC FILING FEE

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
103	18	203	9 Claims in excess of 20	
102	80	202	40 Independent claims in excess of 3	
104	270	204	135 Multiple dependent claim, if not paid	
109	80	209	40 ** Reissue independent claims over original patent	
110	18	210	9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 108.00)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	Thomas A. Jolly	Registration No. (Attorney/Agent)	39,241	Telephone	(509) 324-9250
Signature					
Date	4-30-01				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

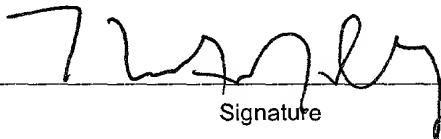
First Named Inventor	Brezak et al.
Title	Methods And Arrangements For Controlling Access To Resources On Authentication Method
Atty Docket Number	MS1-646US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4-30-01

Date



Signature

Thomas A. Jolly

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.